

PRE-QUALIFICATION QUESTIONNAIRE (_____ Contractor)
LINCOLN UNIFIED SCHOOL DISTRICT

Instructions: Please fill out the information below. Please note that the District reserves the right to modify scoring in the event that the Project or Projects in question involve modular buildings or employs the Lease-Leaseback method of contract delivery. request modified or supplemental information at the Board's direction. This Questionnaire should be returned to the following address:

Lincoln Unified School District
Attn: Rebecca Hall
2010 W. Swain Road
Stockton, CA 95207

Firm Name: _____

Type(s) of construction work: _____

Contact Person: _____ Title: _____

Address: _____

Telephone Number: _____ Cell: _____

Email Address: _____

Current CSCLB License Number(s): _____ Trades: _____

Owners of Company _____

Address: _____

SURETY INFORMATION

Please note, the Lincoln Unified School District may not require subcontractor bonds for a specific project.

Name of Surety _____

Address of Surety _____

Email Address _____

Telephone Number _____

CONTRACTOR EXPERIENCE

(up to 19 additional points)

On a separate sheet of paper identify and describe your six (6) most recently completed public works projects and the three (3) largest projects within the last three (3) years. The description should include the date and address of the project, owner name, owner contact name and number, type of project and project budget. For each project, list the contract start date and contract completion date, and the actual start date and actual completion date. ***Subcontractors only***, please also include the contact person and address of the general contractor for the project.

Depending on the Project or Projects at issue, the District may award additional points for contractor experience in modular projects or for the Lease-Leaseback method of Project delivery.

On a separate sheet of paper identify and describe three (3) public K-12 projects you have completed with a contract value more than \$1,000,000. For each project, list the contract start date and contract completion date, and the actual start date and actual completion date.

Please note that experience in public contracts may lead to a substantially higher score.

ATTACHMENTS

Please attach the following documents to this Questionnaire:

1. Your company's latest audited or reviewed financial statement. Alternatively, you may state your company's gross revenues for the last three years:

2019 \$ _____

2020 \$ _____

2021 \$ _____

2. In cases where this Pre-Qualification Questionnaire is submitted for a specific project, please submit a notarized statement from your surety, confirming that your bonding capacity is sufficient to perform work up to \$_____.

QUESTIONNAIRE

A minimum score of 75 is required for pre-qualification.

A. Introductory Questions:

Rating (0 to 24 with 24 being the maximum number of points)

1. Do you have a current and active California Contractor's license in good standing?
____ Yes ____ No

If "no", explain: _____

A "no" will result in automatic disqualification.

2. Do you have a liability insurance policy of at least \$1,000,000 per occurrence and \$2,000,000 aggregate? ____ Yes ____ No

If "no", explain: _____

3. Do you currently have a Workers Compensation insurance policy or are legally self-insured?
____ Yes ____ No

If "yes", explain: _____

4. Has your license been revoked in the last five (5) years? ____ Yes ____ No

If "yes", explain: _____

A "yes" answer may lead to automatic disqualification.

5. Has a surety been required to finish your work on a Project? ☐ Yes ☐ No

If "yes", explain: _____

A "yes" answer may lead to automatic disqualification.

6. Are you currently ineligible to bid on a public works contract because of Labor Code violations?
☐ Yes ☐ No

If "yes", explain: _____

7. In the last five years has your company owner(s) or officer(s) been convicted of a crime involving a public contract? ☐ Yes ☐ No

If "yes", explain: _____

A "yes" answer may lead to automatic disqualification.

8. In the past five (5) years has any of the company's officers, owners or partners been convicted of a federal or state crime involving fraud, theft, or any other act of dishonesty?
☐ Yes ☐ No

If "yes", explain: _____

9. In the past five (5) years has your company been debarred from participating in a Public Contract project? ☐ Yes ☐ No

If "yes", explain: _____

A "yes" answer may lead to automatic disqualification.

B. Corporate History and Organization

Rating (0 to 21 with 21 being the maximum number of points)

1. Year and State of Incorporation _____

2. List all owners and officers of the company. (Owners are persons who own more than 10% of the stock or assets of the company.)

3. If the company is a subsidiary, parent, holding company of affiliate of another, please identify the related company.

4. Has your firm been in bankruptcy in the last five years? ____ Yes ____ No

If "yes", explain: _____

5. Has your company changed names or license numbers in the last five years? If so, list all names and licenses used .

6. Has any owner or officer operated a construction firm under another name?
____ Yes ____ No

If "yes", explain: _____

7. Has any license held by your Responsible Managing Employee or Responsible Managing Officer been suspended within the last five years? ____ Yes ____ No

If "yes", explain: _____

C. Dispute History

Rating (0 to 15 with 15 being the maximum number of points)

1. If your company has been assessed liquidated damages in the last five years, please describe the circumstances.

2. In the past five years, have you ever been prevented from bidding on a public project by action from a public entity, including a finding of non-responsibility? ____ Yes ____ No

If "yes", explain: _____

3. In the past five years, has a claim in arbitration or a lawsuit been filed against you for work on a project? ____ Yes ____ No

If "yes", and for each instance, identify the owner, describe the project and describe the dispute.

4. In the past five (5) years, have you filed a claim in arbitration or a lawsuit against an owner or an owner representative? If the answer is "yes", and for each instance, identify the owner, describe the project and the nature of the dispute. ____ Yes ____ No

If "yes", and for each instance, identify the owner, describe the project and describe the dispute.

5. In the past five (5) years has the surety made any payments on your behalf as the result of a default or claims made against you? ____ Yes ____ No

If "yes", please provide the name and telephone number of the claimant, and the amount paid to satisfy the claim.

D. OSHA and Workers' Compensation

Rating (0 to 12 with 12 being the maximum number of points)

1. In the past five years has California OSHA or Federal OSHA assessed penalties against your firm for any serious, willful or repeat violations? ____ Yes ____ No

If the answer is "yes", describe the project, the nature of the violation and the amount of the fine paid.

2. In the past five years has the EPA or the Air Quality Management District assessed fines against your company? ____ Yes ____ No

If the answer is "yes", describe the circumstances.

3. In the last five (5) years has your company ever been without workers' compensation or a valid self-insurance program? ____ Yes ____ No

If the answer is "yes", describe the circumstances.

4. List your company's Experience Modification Rate (EMR) rate for the last three years:

2019: _____

2020: _____

2021: _____

E. Prevailing Wage and Apprenticeship Compliance Record

Rating (0 to 9 with 9 being the maximum number of points)

1. Has there been more than one instance in the past five (5) years in which your firm was required to pay either back wages or penalties for failure to comply with State or Federal prevailing wage law? ____ Yes ____ No

If the answer is "yes", describe the circumstances.

2. Provide the name, address, and telephone number of the apprenticeship council from which you intended to request the dispatch of apprentices to your company for use on a public work project for which you are awarded a contract.

3. If your company operates its own State approved apprenticeship program, please provide the following: (i) the crafts involved in your program; (ii) the number of persons who enrolled; and (iii) the number of persons by trade who completed the program.

DECLARATION

I, _____ (printed name) hereby declare that I am the _____ (title) of _____ (name of bidder) submitting this Prequalification statement; that I am duly authorized to execute this Prequalification Statement on behalf of the above-named company; and that all information set forth in this Prequalification Statement and all attachments hereto are, to the best of my knowledge, true, accurate, and complete as of its submission date. I acknowledge that any false statements, inaccuracies or failure to disclose are grounds for disqualification.

I declare, under penalty of perjury, that the foregoing is true and correct and that this declaration was
Subscribed at _____ (location and city), County of _____, State of _____, on _____ (date).

(Signature)

(If signed by other than the sole proprietor, a general partners, or corporate officer, attach original notarized power of attorney or corporate resolution.)

All information submitted for prequalification evaluation will be considered official information acquired in confidence and the District will maintain its confidentiality to the extent permitted by law.